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UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

UNITED STATES OF AMERICA

-v.-

JASON GALANIS,

Defendant.

STIPULATION AND ORDER

15 Cr. 643 (PKC)

WHEREAS, on or about September 23, 2015, JASON GALANIS (the "Defendant"), was charged in a nine-count Indictment 15 Cr. 643 (PKC) (the "Information"), with conspiring to commit securities fraud, in violation of Title 18, United States Code, Section 371 (Counts One and Eight); securities fraud, in violation of Title 15, United States Code, Sections 78j(b) and 78ff; Title 17, Code Federal Regulations, Section 240.10b-5; and Title 18, United States Code, Section 2 (Counts Two and Nine); conspiracy to commit wire fraud, in violation of Title 18, United States Code, Section 1349 (Count Three); wire fraud, in violation of Title 18, United States Code, Sections 1343 and 2 (Count Four); and investment advisor fraud in violation of Title 15, United States Code, Sections 80b-6 and 80b-17 (Counts Five through Seven);

WHEREAS, the Information included a forfeiture allegation as to Counts One through Nine of Indictment seeking forfeiture to the United States, pursuant to Title 18, United States Code, Section 981(a)(1)(C) and Title 28, United States Code, Section 2461, of any property, real or personal, that constitutes or is derived from proceeds traceable to the commission of the offenses alleged in Counts One through Nine of the Indictment;

WHEREAS, on or about July 21, 2016, the Defendant pled guilty to Counts One, Two, Five and Eight of the Indictment and admitted the forfeiture allegation with respect to Counts One, Two, Five and Eight of the Indictment, pursuant to a plea agreement with the Government, wherein the Defendant agreed to forfeit to the United States, pursuant to Title 18, United States Code, Section 981(a)(1)(C) and Title 28, United States Code, Section 2461, a sum of money equal to $37,591,681.10 in United States currency, representing any property, real or personal, that constitutes or is derived from proceeds traceable to the commission of the offenses alleged in Counts One, Two, Five and Eight of the Indictment and all, right, title and interest of the Defendant in 260 West Broadway, Unit 1, New York, New York 10013 and 1920 Bel Air Road, Los Angeles, California (collectively, the "Subject Property");

WHEREAS, on or about August 15, 2016, the Court entered a Consent Preliminary Order of Forfeiture as to Specific Properties/Money Judgment ("Order of Forfeiture") which (i) ordered the entry of a forfeiture money judgment in the amount of $37,591,681.10; and (ii) forfeited all right, title and interest of the Defendant in the Subject Property (Docket Entry 226);

WHEREAS, the wife of Defendant, Monet Berger, has notified the Government of her potential interest in the Subject Property;

WHEREAS, Monet Berger has requested additional time to file claims for the Subject Property;

IT IS HEREBY STIPULATED AND AGREED, by and between the United States of America, by its attorney Preet Bharara, United States Attorney, Assistant United States Attorney Edward Diskant, of counsel, and Monet Berger, by her counsel Duncan P. Levin, Esq., that:

1. Monet Berger shall have until November 30, 2016 to file claims pursuant to Rule G of the Supplemental Rules for Certain Admiralty and Maritime Claims for any or all of the Subject Property.

2. This Stipulation and Order may be executed in counterparts, each of which shall be deemed an original, and all of which, when taken together, shall be deemed the complete Stipulation and Order. Signature pages may be by fax and such signatures shall be deemed as valid originals.

AGREED AND CONSENTED TO:

PREET BHARARA
United States Attorney for the
Southern District of New York

By: ______________________ 10/14/16
EDWARD DISKANT DATE
Assistant United States Attorney
One St. Andrew=s Plaza
New York, NY 10007
(212) 637-2294

Monet Berger, Potential Claimant

By: Monet Berger Oct. 11 2016
MONET BERGER DATE

By: ______________________ 10/14/16
DUNCAN P. LEVIN, ESQ. DATE
Tucker & Levin, PLLC
576 Fifth Avenue, Suite 903
New York, New York 10036
(646) 445-7825

SO ORDERED:

______________________ 10-21-16
HONORABLE P. KEVIN CASTEL
UNITED STATES DISTRICT JUDGE DATE